

The University of Tokyo Rules on the Handling of Advanced Development Consultations

The University of Tokyo Rules No. 120; March 26, 2020

1. Purport

The University of Tokyo Rules on the Handling of Advanced Development Consultations (from hereinafter referred to as "**Rules**") shall set forth matters necessary for the handling of Advanced Development Consultations (as defined in Article 2) at the University of Tokyo (from hereinafter referred to as "**University**").

2. Definitions

In these Rules, the definitions of the following terms shall be as set forth below.

(1) "**Advanced Development Consultations**" means projects in which faculty members provide, at the request of any entity other than University, guidance or advice based on their educational, research and technical expertise as a commissioned business of the University, and thereby support the operations or activities of the Client (as defined in 4. Presentation of Conditions of Implementation).

(2) "**Dean(s) of Institute(s)**" means heads of the organizations listed in Chapters 3 and 4 of the University of Tokyo Rules on Basic Organizations (The University of Tokyo Rules No. 1; April 1, 2004) and the Secondary School attached to the Faculty of Education, the University of Tokyo and the University of Tokyo Hospital.

(3) "**Guidance Fees**" means the consideration payable for the Advanced Development Consultations.

(4) "**Advanced Development Consultation Fees**" means the total of the Guidance Fees and the necessary expenses plus national and local consumption taxes, plus the Research Support Expenses set forth in The University of Tokyo Guidelines for the Handling of Research Support Expenses (The University of Tokyo Rules No. 39; January 31, 2019).

3. Principle for Acceptance

Advanced Development Consultations may be accepted only when their contents are found to be closely related to the operations of the University and to cause no hindrance to the education and research by the faculty member in charge of such Advanced Development Consultations ("**Faculty Member in Charge**").

4. Presentation of Conditions of Implementation

The Dean of Institute shall present to the entity which intends to request Advanced Development Consultations (the "**Client**") the conditions ("**Conditions of Implementation of Advanced Development Consultations**") specified by the Executive Vice President in charge of industry-academia-government collaboration (the "**Executive Vice President in Charge**"), in advance.

5. Request for Advanced Development Consultations

The Client shall submit a request form to the Dean of Institute to which the Faculty Member in Charge belongs

after accepting the Conditions of Implementation of Advanced Development Consultations.

6. Decision on Acceptance, Etc.

6.1. The decision to accept Advanced Development Consultations shall be made by the Dean of Institute.

6.2. The Dean of Institute shall, when they have made a decision to accept Advanced Development Consultations, notify the person who has been delegated with contract administration by the President as prescribed in the University of Tokyo Regulations for Accounting (The University of Tokyo Rules No. 8; 2004) (the "**Delegatee of Contract Administration**") of the contents of the decision.

7. Conclusion of Contracts

The Delegatee of Contract Administration shall, based on the notice given under 6.2 above, send a letter of acceptance to the Client and conclude an agreement on Advanced Development Consultations.

8. Advanced Development Consultation Fees

8.1. The Delegatee of Contract Administration shall, when they have concluded an agreement on Advanced Development Consultations pursuant to 7. Conclusion of Contracts above, request the Client to pay the Advanced Development Consultation Fees in the amount specified in such agreement.

8.2. The amount of the Guidance Fees out of the Advanced Development Consultation Fees shall be an amount corresponding to the value of the knowledge and technology required for the Advanced Development Consultations and shall be determined through consultation between the Client and the Dean of Institute.

9. Discontinuation of Advanced Development Consultations, Etc.

9.1. When it becomes necessary to discontinue the Advanced Development Consultations or to change the period of the Advanced Development Consultations, the Faculty Member in Charge shall immediately report thereon to the Dean of Institute and receive their instructions.

9.2. When the Dean of Institute finds it unavoidable based on the report set forth in 9.1 above, they shall decide to discontinue the Advanced Development Consultations or to change the period thereof, and shall notify the Client and the Delegatee of Contract Administration to that effect.

9.3. The Delegatee of Contract Administration shall, when they have received the notice set forth in 9.2 above or when there has been a significant change in the contents of the Advanced Development Consultations or the expenses required for the Advanced Development Consultations, take the procedures necessary for discontinuation or change of such Advanced Development Consultations.

10. Report on Completion of Advanced Development Consultations

10.1. When the Advanced Development Consultations have been completed, the Faculty Member in Charge shall report to the Dean of Institute to that effect.

10.2. When the Dean of Institute has received a report set forth in 10.1 above, they shall notify the Delegatee of Contract Administration to that effect.

11. Publication of Results of Advanced Development Consultations

The Dean of Institute may publish the results of the Advanced Development Consultations after consultation and agreement with the Client on such publication.

12. Auxiliary Provision

In addition to the provisions provided in these Rules, matters necessary for the handling of Advanced Development Consultations shall be separately prescribed by the Executive Vice President in Charge.

SUPPLEMENTARY PROVISION

These Rules come into force on April 1, 2020.