

## Material Transfer Agreement (Draft)

The University of Tokyo (the “University”) and [Company Name] (the “Recipient”) (hereinafter collectively referred to as the “Parties” and each individually a “Party”) enter into this Material Transfer Agreement (this “**Agreement**”) on the terms and conditions defined in the **Terms and Conditions of the Material Transfer Agreement** attached to this **Agreement**, for the provision of the Material set out in the Agreement Particulars as follows;

### Agreement Particulars

<b>1. The University</b>	The University of Tokyo	
	<b>Contact Person</b>	
	<b>Position, Dept.</b>	
<b>2. The Recipient</b>		
<b>3. The Material</b>	<b>Name</b>	
	<b>Details</b>	
	<b>Quantity</b>	
<b>4. Purpose of Use</b>		
<b>5. Conditions of Use</b>		
<b>6. Recipient Researcher (s)</b>		
<b>7. Place(s) of Use</b>		
<b>8. Modifications to the Material</b> <small>(To be selected from 3-options.)</small>	<input type="checkbox"/> Prohibited <input type="checkbox"/> Approval required on case-by-case basis <input type="checkbox"/> Approved	
<b>9. Publications of Results Obtained by Using the Material</b> <small>(To be selected from 3-options.)</small>	<input type="checkbox"/> Consultation required on case-by-case basis <input type="checkbox"/> Approved, on the condition that the Recipient clearly specifies the source <input type="checkbox"/> Approved	
<b>10. Fee</b>	_____ yen (excl. consumption tax)	
<b>11. Due Date for Payment of Fee</b>	_____ ____, _____ [month day, year]	
<b>12. Confidentiality Period</b>	_____ years from the execution date of this Agreement	

<b>13. Period for Reporting New Results</b>	____ years from the execution date of this Agreement
<b>14. Effective Term</b>	from the execution date of this Agreement until _____, _____ [month day, year]
<b>15. Dealing with the Material after Termination of Agreement</b> <small>(To be selected from 3-options.)</small>	<input type="checkbox"/> Return it (Chapters 1 and 2 apply) <input type="checkbox"/> Dispose of it (Chapters 1 and 3 apply) <input type="checkbox"/> Transfer it to the Recipient (Chapters 1 and 4 apply)

IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed in duplicate originals by their duly authorized representatives.

The University and the Recipient have prepared two copies of this Agreement, and the University and the Recipient shall each retain one copy.

Execution Date: \_\_\_\_\_, \_\_\_\_\_

The University: The University of Tokyo  
7-3-1 Hongo, Bunkyo-ku, Tokyo

Signed by : \_\_\_\_\_

Title : \_\_\_\_\_

The Recipient: \_\_\_\_\_  
\_\_\_\_\_

Signed by : \_\_\_\_\_

Title : \_\_\_\_\_

(Accompanied with Terms and Conditions for The Material Provision Agreement )

# **Terms and Conditions for The Material Transfer Agreement**

## **Chapter 1 General Rules**

### **Article 1 (The Material)**

The “**Material**” means the tangible form of the material owned by the University set forth in Paragraph 3 of Agreement Particulars. If the tangible form of the material can breed or propagate, then its progeny and propagations are also to be considered as the Material.

### **Article 2 (Provision of the Material)**

The University shall provide the Recipient the Material.

### **Article 3 (Purpose of Use; Scope of Use)**

The Recipient shall not use the Material in a way that exceeds the Purpose of Use or the Conditions of Use set forth in Paragraphs 4 and 5, respectively, of Agreement Particulars.

### **Article 4 (Recipient Researcher(s); Place(s) of Use)**

The Material may be used by only the Recipient Researcher(s) and at only the Place(s) of Use set forth in Paragraphs 6 and 7, respectively, of t Agreement Particulars; provided, however, that in the event of an unavoidable circumstance the Recipient may change the Recipient Researcher(s) and Place(s) of Use on the condition that the Recipient obtains the University’s prior approval by sending the University a written explanation for the change.

### **Article 5 (Property Rights)**

Except as otherwise expressly set forth in this Agreement, none of the provisions of this Agreement provide for the transfer or licensing of any of the rights concerning the

Material, such as ownership rights, copyrights, and the industrial property rights that belong to the University.

#### **Article 6 (Disclaimer)**

In providing the Material, the University makes no warranties or guarantees, which includes no guarantee that the Recipient's use of the Material will not infringe any intellectual property right (such as a third party's intellectual property right) and no warranty of merchantability or fitness for a particular purpose. The Recipient bears any and all liability for damage to itself or a third party in connection with the Recipient's use of the Material.

#### **Article 7 (Handling the Material)**

- 7.1 The Recipient acknowledges that the Material is for research purposes and that the Material's features have not been fully corroborated, and the Recipient shall handle the Material cautiously with adequate care.
- 7.2 The Recipient shall handle the Material in accordance with all applicable laws and rules, with all regulations and guidelines established by the government or public institutions, and with public order and morals.
- 7.3 The Recipient shall not use the Material in human subject.

#### **Article 8 (Modifications)**

Modifications to the Material by the Recipient shall be carried out as follows in accordance with the box selected in Paragraph 8 of Agreement Particulars:

**Prohibited:** The Recipient shall not modify the Material.

#### **Approval required on case-by-case basis:**

Whenever the Recipient wishes to modify the Material, the Recipient shall obtain the University's prior written approval for that specific modification.

**Approved:** The University grants its prior approval for the Recipient to modify the Material.

#### **Article 9 (Handling the Creation of New Results)**

- 9.1 In the event that new results are obtained from research and development by using the Material, the Recipient shall inform the University immediately of the details of those results.
- 9.2 Intellectual property rights arising out of the new results from research and development mentioned in Article 9.1 will be jointly owned by the University and the Recipient. The University and the Recipient shall consult with each other with respect to their respective shares.
- 9.3 If the Recipient intends to use the new results from research and development mentioned in Article 9.1 for the purpose of making profit, the Recipient shall immediately inform the University of the details of its intended use and consult with the University how to handle consideration and the like arising from the intended use.
- 9.4 Articles 9.1 and 9.3 are effective from the execution date of this Agreement until the end of the period set forth in Paragraph 13 of Agreement Particulars.

#### **Article 10 (Publishing Results Obtained by Using the Material)**

Publications, such as of research papers on results obtained by the Recipient's use of the Material, shall be carried out as follows in accordance with the box selected in Paragraph 9 of Agreement Particulars:

**Approved on the condition that the Recipient clearly specifies the source:**

Whenever the Recipient intends to publish (for example, in the form of a research paper) results obtained by using the Material, the Recipient shall specify that they were provided by the Contact Person set forth in Paragraph 1 of Agreement Particulars.

**Consultation required on case-by-case basis:**

Whenever the Recipient intends to publish (for example, in the form of a research paper) results obtained by using the Material, the Recipient shall consult in advance with the University on that specific publication.

**Approved:** The University grants its prior approval for the Recipient to publish (for example, in the form of a research paper) results obtained by the Recipient's using the Material.

**Article 11 (No Provision to Third Party)**

The Recipient shall not provide the Material to a third party without the University's prior written approval.

**Article 12 (Assigning Rights and Obligations)**

Neither the University nor the Recipient may assign or transfer to a third party either its status as a Party to this Agreement or all or part of its claims or liabilities under this Agreement, unless it has obtained the other Party's prior written approval to do so.

**Article 13 (Consideration)**

13.1 In consideration of the University's providing the Material, the Recipient shall pay the University the amount set forth in Paragraph 10 of Agreement Particulars (the "Fee"), together with the consumption tax for that amount.

13.2 The Recipient shall, no later than the due date for payment set forth in Paragraph 11 of Agreement Particulars ("**Due Date for Payment**"), pay the Fee by wire transfer to a bank account designated by the University and bear the bank commission charged on the wire transfer.

13.3 If the Recipient does not pay the Fee in full by the Due Date for Payment, the University may claim from the Recipient a late payment penalty by charging

interest on the unpaid amount calculated at 3% per annum for each day after the Due Date for Payment until and including the day the Fee are paid in full.

13.4 Under no circumstances the University is required to return money the Recipient has already paid it under this Agreement.

#### **Article 14 (Sharing of Expenses)**

The Recipient shall bear the expenses involved in the delivery, maintenance, repair, modification, return, and the like of the Material.

#### **Article 15 (Confidentiality)**

15.1 The Recipient shall keep confidential (i) management and business information disclosed by the University, and (ii) technical information, including all information related to the Material. The Recipient shall not use such information for any purpose other than the Purpose of Use set forth in Paragraph 4 of Agreement Particulars and shall not disclose or divulge such information to a third party without the University's prior approval.

15.2 The Recipient shall cause its executives and employees who needs to know and receive information disclosed to the Recipient from the University to be bound by the same duty of confidentiality as the Recipient is under this Agreement.

15.3 The duty of confidentiality set forth in this Agreement does not apply to the following:

- (1) information that a Party can prove it already possessed at the time that Party was disclosed or acquired that information;
- (2) information that was already public knowledge at the time it was disclosed to or acquired by a Party;
- (3) information that became public knowledge through no fault of the Party who was disclosed it or acquired it;
- (4) information that a Party can prove it acquired legally from a third party with proper authority;
- (5) information that a Party can prove it developed or acquired independently

without relying on information the other Party disclosed to it.

- (6) information that a Party is required by court order, law, or ordinance to disclose;
- (7) information that a Party has the other Party's prior written consent to disclose.

15.4 Articles 15.1, 15.2, and 15.3 will remain effective for the period set forth in Paragraph 12 of Agreement Particulars.

#### **Article 16 (Inspection)**

The University may, at any time, either carry out an on-site inspection on the Material where the Material is being used or request the Recipient to provide it a report on required matters regarding the Material. The University may also give the Recipient the instructions necessary to maintain, manage, and return the Material.

#### **Article 17 (Loss of or Damage to the Material)**

If the Recipient loses or damages the Material, the Recipient shall immediately provide the University a detailed written report and follow the University's instructions. In this case, if the cause of the loss or damage is connected to a natural disaster, fire, or theft, then the Recipient shall attach to the report a certificate issued by a relevant government authority and attesting to the fact and cause of the loss or damage.

#### **Article 18 (Compensation)**

If the Recipient causes damage to the University in relation to this Agreement, then the Recipient is liable for compensating the University for that damage, unless this Agreement separately provides otherwise.

#### **Article 19 (Effective Term)**

This Agreement is effective from the date it is executed to the date set forth in Paragraph 14 of Agreement Particulars.



## **Article 20 (Rescission)**

20.1 If the University or the Recipient breaches all or part of this Agreement, then the other Party may request the breaching Party to cure the breach within a period set by the other Party. In this case, if the breaching Party does not cure the breach within that period, the other Party may rescind this Agreement.

20.2 If the Recipient does not pay the consideration set forth in Article 13 by the Due Date for Payment, the University may rescind this Agreement.

## **Article 21 (Entire Agreement)**

The University and the Recipient agree that this Agreement sets forth the entire agreement between them in relation to the provision of the Material and that it supersedes all agreements exchanged between them before this Agreement was executed.

## **Article 22 (Cancellation; Amendments)**

22.1 The University and the Recipient may, upon their agreement, cancel or amend all or part of this Agreement.

22.2 The University and the Recipient each may cancel this Agreement by notifying the other party in writing at least three months before the desired rescission date.

## **Article 23 (Governing Law; Jurisdiction)**

This Agreement shall be governed by, construed and interpreted under the laws of Japan. The Parties agree the all the lawsuits hereunder shall be exclusively brought in the Tokyo District Court of Japan.

## **Article 24 (Consultation)**

In the event that a matter arises that is not set forth in this Agreement or a doubt arises in relation to this Agreement, The University and the Recipient shall resolve the issue by

consulting each other on it in good faith.

## **Chapter 2 Returning Material After Termination of Agreement**

### **Article 25 (Dealing with Returns)**

This Chapter applies in the case that “Return it” is selected in Paragraph 15 of Agreement Particulars.

### **Article 26 (Receiving the Material)**

When the Recipient receives the Material, it shall provide the University a written receipt.

### **Article 27 (Returning the Material)**

27.1 The Recipient shall return the Material to a place designated by the University by the last day of the Effective Term set forth in Paragraph 14 of Agreement Particulars.

27.2 If the Recipient breaches any terms or conditions of this Agreement or if the University believes it is especially necessary for the Recipient to return the Material, the Recipient shall follow the University’s instructions and promptly return the Material.

### **Article 28 (Handling of Information Received)**

In the case the Recipient has to return the Material to the University in accordance with Article 27, the Recipient shall follow the University’s instructions concerning the handling of information the University disclosed the Recipient under this Agreement (including tangible items that include such information).

### **Article 29 (Surviving Articles)**

Articles 9, 10, 13, 15, 18, and 23 will remain effective even after this Agreement has

terminated (regardless of the reason for its termination).

### **Chapter 3 Disposing of Material After Termination of Agreement**

#### **Article 30 (Dealing with Disposals)**

This Chapter applies in the case that “Dispose of it” is selected in Paragraph 15 of Agreement Particulars.

#### **Article 31 (Disposing of the Material)**

When this Agreement has terminated (regardless of the reason for its termination), the Recipient shall dispose of the Material in a manner adequately considerate of maintaining confidentiality and guaranteeing safety. The Recipient is solely responsible for the disposal of the Material.

#### **Article 32 (Handling of Information)**

In the case the Recipient has to dispose of the Material in accordance with Article 31, the Recipient shall, in accordance with the University’s instructions, promptly either return, dispose of, or delete information the University disclosed the Recipient under this Agreement (including tangible items that include such information).

#### **Article 33 (Surviving Articles)**

Articles 9, 10, 13, 15, 18, 23, 31, and 32 will remain effective even after this Agreement has terminated (regardless of the reason for its termination).

### **Chapter 4 Transferring Material After Termination of Agreement**

#### **Article 34 (Dealing with Transfers)**

This Chapter applies in the case that “Transfer it to the Recipient” is selected in Paragraph 15 of Agreement Particulars.

**Article 35 (Disposing of the Material)**

When this Agreement has terminated (regardless of the reason for its termination), the University shall, free of charge, transfer the Material to the Recipient.

**Article 36 (Disposing of Information)**

In the case the University transfer the Material to the Recipient in accordance with Article 35, the Recipient shall follow the University’s instructions concerning the disposing of information the University disclosed the Recipient under this Agreement (including tangible items that include such information).

**Article 37 (Surviving Articles)**

Articles 5 through 7, 9, 10, 11, 13, 15, 18, 23, 35, and 36 will remain effective even after this Agreement has terminated (regardless of the reason for its termination).

—End of Terms and Conditions for Material Provision Agreement—